



PTO/SB/088 (08-03)  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete If Known

Application Number	10/714,474
Filing Date	November 13, 2003
First Named Inventor	Ihab M. Hekal
Art Unit	1732
Examiner Name	Suzanne E. McDowell
Attorney Docket Number	62357.017508

Sheet: 1 of 13

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S FIFTH SUPPLEMENTAL OBJECTIONS AND RESPONSES TO CSP'S FIRST SET OF INTERROGATORIES NOS. 1-11", (Pages 1-70)  (Attachment: "The Prior Art Invalidates The Asserted Claims") (Pages 1-15)	
	2	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS.1-4", (Pages 1-8)  (Attachment: "Exhibit A" - Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product")	
	3	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S SECOND SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS. 1-4", (Pages 1-14)  (Attachment: "Exhibit A"-Letter From Kevin W. McCabe to Margaret M. Duncan, Esq., "Exhibit B"-Dictionary (Page 847), "Exhibit C"-Dictionary (Page 756)  "Exhibit D"-Dictionary (Page 1305), "Exhibit E" (Page 1250), "Exhibit G"-Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product")	

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		(Attachment: "Exhibit A"-Letter From Kevin W. McCabe to Margaret M. Duncan, Esq., "Exhibit B"-Dictionary (Page 82), "Exhibit C"-Dictionary (Page 847)	
		"Exhibit D"-Dictionary (Page 756), "Exhibit E"-Dictionary (Page 921), "Exhibit F"-Dictionary (Page 1305), "Exhibit G"-Dictionary (Page 1250), "Exhibit H"-Dictionary (Page 1251)	
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		(Attachment: "Exhibit A"-Letter From Kevin W. McCabe to Margaret M. Duncan, Esq., "Exhibit B"-Dictionary (Page 22), "Exhibit C"-Dictionary (Page 847)	
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	11	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES' CORRECTED FIRST SUPPLEMENTAL RESPONSE TO INTERROGATORY NO.5", (pages 1-11)	
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		(Attachment: "CSP's Objections And Responses To SCI's Second Set Of Requests For Admission" (Pages 1-4) With Attachment.)	
	13	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S THIRD SUPPLEMENTAL OBJECTIONS AND RESPONSES TO CSP'S FIRST SET OF INTERROGATORIES NOS.1-11", (Pages 1-63)	
		(Attachment: "The Prior Art Invalidates The Asserted Claims") (Pages 1-13)	
	14	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "COMPLAINT FOR DECLARATORY JUDGMENT". (Pages 1-5)	

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	16	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES, INC.'S MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF ITS MOTION TO DISMISS OR TRANSFER- SUD-CHEMIE'S DECLARATORY JUDGMENT ACTION", (Pages 1-23)	
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	30	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: CSP TECHNOLOGIES' REPLY IN SUPPORT OF ITS MOTION FOR LEAVE TO AMEND ITS ANSWER AND COUNTERCLAIMS", (Pages 1-4)	
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	44	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S MEMORANDUM IN SUPPORT OF ITS MOTION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICIABLE- CONTROVERSY (Pages 1-4)	
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	46	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICIABLE- CONTROVERSY AND CSP'S OPPOSITION TO SCI'S CROSS-MOTION IN LIMINE REGARDING THE PRESUMPTION OF VALIDITY", (Pages 1-13)	
	47	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S REPLY BRIEF IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-21)	

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/714,474
Filing Date	November 13, 2003
First Named Inventor	Ihab M. Hekal
Art Unit	1732
Examiner Name	Suzanne E. McDowell
Attorney Docket Number	62357.017508

Sheet 9 of 13

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	48	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES' SURREPLY IN OPPOSITION TO SCI'S MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT". (Page 1-17)	
	49	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: SCI'S MEMORANDUM OF LAW IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-35)	
		(With Attachment Cited Below)	
	50	(Attachment No. 1: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Fourth Supplemental Responses To SCI's First Set-Of Interrogatories Nos. 1-4") (Pages 1-15) With Attachment)	
	51	(Attachment No. 2: Hekal, "United States Patent No. 5,911,937")	
	52	(Attachment No. 3: Hekal, "United States Patent No. 6,124,006")	
	53	(Attachment No. 4: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Answers To Plaintiff SCI's First Set Of Requests For Admission", (Pages 1-4)	
	54	(Attachment No. 5: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Responses to SCI's Third Set Of Interrogatories Nos. 7-11", (Pages 1-9)	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 10

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Application Number

10/714,474

Filing Date

November 13, 2003

First Named Inventor

Ihab M. Hekal

Art Unit

1732

Examiner Name

Suzanne E. McDowell

Attorney Docket Number

62357.017508

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	55	(Attachment No. 6: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Declaration Of Professor Donald R. Paul In Support Of SCI's Motion For Summary Judgment Of- Patent Invalidity", (Pages 1-6)	
	56	(Attachment No. 7: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Deposition Transcript Of Ihab Hekal"(September 24, 2004)(7 Pages)	
	57	(Attachment No. 8: "Hekal, United States Patent No. 6,130,263")	
	58	(Attachment No. 9: "Hekal, United States Patent No. 6,214,255 B1")	
	59	(Attachment No. 10: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Deposition Of Glenn Frederickson Taken On Tuesday, October 12, 2004", (19 Pages)	
	60	(Attachment No.11: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Videotaped Deposition Of Defendant By Ihab Hekal", (September 23, 2004) (7 Pages)	
	61	(Attachment No. 12: Lancessenr, "United States Patent No. 5,432,214")	

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Application Number	10/714,474
Filing Date	November 13, 2003
First Named Inventor	Ihab M. Hekal
Art Unit	1732
Examiner Name	Suzanne E. McDowell
Attorney Docket Number	62357.01/508

Sheet 11 of 13

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	62	(Attachment No. 13: "Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product", (Pages 1-5)	
	63	(Attachment No. 14: "Project On Morphology Control In Polymer-Polymer-Particle Alloys", (Pages 1-2)	
	64	(Attachment No. 15: "European Patent Application No. 0,401,666 A2")	
	65	(Attachment No. 16: "Shigeta et al., United States patent No. 5,078,909")	
	66	(Attachment No. 17: "International Application No. PCT/US96/05261")	
	67	(Attachment No. 18: "Hekal, United States Patent No. 6,174,952 B1")	
	68	(Attachment No. 19: "Hekal, United States Patent No. 6,194,079 B1")	
	69	(Attachment No. 20: "Hekal, United States Patent No. 6,465,532 B1")	
	70	(Attachment No. 21: "Hekal, United States Patent No. 6,486,231 B1")	
	71	(Attachment No. 22: "Memo To Dr.Hekal From Rob Garren")	

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/714,474
Filing Date	November 13, 2003
First Named Inventor	Ihab M. Hekal
Art Unit	1732
Examiner Name	Suzanne E. McDowell
Attorney Docket Number	62357.017508

Sheet

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of

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	72	(Attachment No. 23: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Deposition Of Robert Garren, on July 8, 2004", (4 Pages))	
	73	(Attachment No. 24: "Letter To Calvin L. Loop From Robert S. Abrams") (With Attachment)	
	74	(Attachment No. 25: "Letter To Calvin L. Loop From Robert S. Abrams")	
	75	(Attachment No. 26: "Memo To Calvin Loop From Rob Garren")	
	76	(Attachment No. 27: "Memo To Calvin Loop From Beki Urioste")	
	77	(Attachment No. 28: "Memo To Dr. Hekal, Clavin Loop, Robert Abrams From Rob Garren")	
	78	(Attachment No. 29: "Summary On New Polymer Technology")	
	79	(Attachment No. 30: "Diagrams")	
	80	(Attachment No. 31: "Diagrams")	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/714,474
Filing Date	November 13, 2003
First Named Inventor	Ihab M. Hekal
Art Unit	1732
Examiner Name	Suzanne E. McDowell
Attorney Docket Number	62357.017508

Sheet 13 of 13

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	81	(Attachment No. 32: "Diagrams")	
	82	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: CSP TECHNOLOGIES INC.'S OPPOSITION TO SUD-CHEMIE, INC.'S SUMMARY JUDGMENT MOTION AND EXHIBITS 7, 9A, 9B, 9C, 9D, 9E, 12, 14, 15 & 17", (Pages 1-34)	
	83	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S REPLY BRIEF IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-21)	
	84	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S LOCAL RULE 56.1(d) SURREPLY IN OPPOSITION TO SCI'S MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-10)	
	85	SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-2)	

Examiner Signature		Date Considered	3/09
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